

Office of the Commissioner of Insurance: Administrative actions December 2021

Posted on Thursday, Jan 6, 2022

>> **WisPolitics is now on the State Affairs network. Get custom keyword notifications, bill tracking and all WisPolitics content. [Get the app or access via desktop.](#)**

Madison, Wis. — The Office of the Commissioner of Insurance (OCI) is responsible for administering and enforcing the insurance laws of Wisconsin. This includes monitoring the financial and marketing practices of individuals and companies. Each month, OCI publishes the administrative actions it has taken against these entities. The actions are issued by OCI when licensing applicants, or licensed individuals or companies have violated Wisconsin insurance statutes or regulations. In some cases, the individual or company denied the stated allegations but consented to the action taken. OCI strives to ensure fair and honest business practices to protect Wisconsin insurance consumers through this type of transparency. Copies of the administrative orders may be viewed online at ociaccess.oci.wi.gov/OrderInfo/OrdInfo.oci.

Any forfeitures paid are deposited in Wisconsin's Common School Fund administered by the Board of Commissioners of Public Lands. Earnings from the Fund are distributed to all public K-12 schools in the state and are used by school libraries to purchase materials such as books, newspapers, and computers.

If you have a question or complaint regarding an insurance company or agent you can use the online complaint form on our website, oci.wi.gov, or contact OCI at 1-800-236-8517.

Allegations and Actions Against Agents

Walter H. Barbary, 358 W 41st St., Unit 4, Los Angeles, CA 90037, had his insurance license revoked. This action was taken based on allegations of failing to pay a

licensing renewal fee.

Derek M. Bennett, 140 NE 28th Ave., Apt. 305, Pompano Beach, FL 33062, had his application for an insurance license denied. This action was taken based on allegations of having an administrative action taken by the State of Virginia, having an insurance appointment terminated for cause, failing to disclose actions on a licensing application, and exhibiting dishonest business practices.

Sean M. Bryson, 46 Augusta Dr., Fort Oglethorpe, GA 30742, had his application for an insurance license denied for 31 days. This action was taken based on allegations of failing to timely report an administrative action taken by the State of Florida and a criminal charge while previously licensed.

Bryan Carter, 120 W 12th St., Ste. 1700, Kansas City, MO 64105, had his application for an insurance license denied for 31 days. This action was taken based on allegations of having a criminal conviction that may be substantially related to insurance marketing type conduct.

Joshua Cavanaugh, 101 2nd St., New Glarus, WI 53574, had his application for an insurance license denied for 60 days. This action was taken based on allegations of having criminal convictions that may be substantially related to insurance marketing type conduct, failing to accurately disclose a criminal conviction on a licensing application, and making a misleading statement on a licensing application.

Nichole M. Day, 203 S Cty. I, Montfort, WI 53569, had her application for an insurance license denied for 60 days. This action was taken based on allegations of failing to disclose an administrative action taken by the State of Wisconsin and criminal convictions on a licensing application in violation of the terms of a previous warning letter.

Yuemei Ding, 845 Indian Rd., Glenview, IL 60025, agreed to pay a forfeiture of \$2,500.00. This action was taken based on allegations of selling unsuitable life insurance policies.

Mitchell F. Fink, 6166 N Central Expressway, Dallas, TX 75206, had his application for an insurance license denied. This action was taken based on allegations of failing to disclose administrative actions taken by the states of Wisconsin and Iowa on a licensing application, violating the terms of a previous stipulation and order, misrepresenting insurance products to consumers, and having a history of providing

licensing application misstatements.

Michael P. Fish, 178 Western Ave., Albany, NY 12203, had his insurance license revoked. This action was taken based on allegations of failing to timely report criminal proceedings to OCI, having criminal convictions that may be substantially related to insurance marketing type conduct, and failing to participate in administrative proceedings.

Janet L. Garces, 2075 NE 202nd St., Miami, FL 33179, had her application for an insurance license denied for 90 days. This action was taken based on allegations of having administrative actions taken by the states of South Dakota, Louisiana, Virginia, North Carolina, and California; failing to accurately disclose administrative actions on this and previous licensing applications; failing to timely report administrative actions while previously licensed; and violating the terms of a previous warning letter.

Kristina A. Harding, 2225 Brooks Ave., Red Wing, MN 55066, had her insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Gerri L. Kaufmann, 475 Pebble Creek Pass, Wales, WI 53183, had her insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Steven Malone, 15629 Summit Place Cir., Naples, FL 34119, had his insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Long Moua, 5906 N 38th St., Milwaukee, WI 53209, had his insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Jennifer E. Pec, 354 5th St., Prairie du Sac, WI 53578, had her application for an insurance license denied. This action was taken based on allegations of having a criminal conviction that may be substantially related to insurance marketing type conduct; failing to apply for and obtain a federal crime waiver; and having an administrative action taken by the Wisconsin Department of Regulation and Licensing.

Brenda Rajek, 6061 Inwood Dr., Eau Claire, WI 54701, had her insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Anthony Redmond, III, 2110 Allen Blvd., Apt. 23, Middleton, WI 53562, had his insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Matthew N. Rhodes, 4004 Bluestem Cir., Zion, IL 60099, had his insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Robert Sanders, 11862 Brighton Knoll Loop, Riverview, FL 33579, had his application for an insurance license denied. This action was taken based on allegations of failing to disclose a criminal conviction and administrative actions taken by the states of Florida and Indiana on a licensing application, having a criminal conviction that may be substantially related to insurance marketing type conduct, and failing to respond to inquiries from OCI.

Tyler W. Specht, 2315 N 7th St., Sheboygan, WI 53083, had his insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Kaylee Tlusty, 211 E Central St., Loyal, WI 54446, had her insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Marycarmen M. Esquivel Trejo, 1320 9th St., Harvard, IL 60033, had her insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Erin E. Workman, 222 Ridge Creek Dr., Janesville, WI 53548, had her application for an insurance license denied. This action was taken based on having criminal convictions that may be substantially related to insurance marketing type conduct, failing to disclose deferred criminal felonies on a licensing application, and failing to respond to inquiries from OCI.

Allegations and Actions Against Companies

ASI Underwriters Corp, 1 ASI Way N, St. Petersburg, FL 33702, had its insurance

license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.

Buckeybranch, Inc., PO Box 806, Olean, NY 14760, had its insurance license revoked. This action was taken based on allegations of owing delinquent Wisconsin taxes.